

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

OMB APPROVAL	
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Carney Domonic J.			2. Issuer Name and Ticker or Trading Symbol Ener-Core, Inc. [ENCR]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director _____ 10% Owner _____ <input checked="" type="checkbox"/> Officer (give title below) _____ Chief Financial Officer		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/25/2018			6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person		
8965 RESEARCH DRIVE			4. If Amendment, Date Original Filed (Month/Day/Year)					
(Street)								
IRVINE, CA 92618								
(City)			(State)			(Zip)		

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Convertible Senior Secured Note due 2018	\$ 2.50	01/25/2018		P	\$ 11,111	01/25/2018	12/31/2018	Common Stock	4,444	(1)	\$ 11,111	D	
Warrant to Purchase Common Stock	\$ 1.50	01/25/2018		P	4,444	01/25/2018	01/25/2023	Common Stock	4,444	(1)	4,444	D	
Convertible Senior Secured Note due 2018	\$ 2.50	12/20/2017		L	\$ 8,333	12/20/2017	12/31/2018	Common Stock	3,333	(2)	\$ 8,333	D	
Warrant to Purchase Common Stock	\$ 1.50	12/20/2017		L	3,333	12/20/2017	12/20/2022	Common Stock	3,333	(2)	3,333	D	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Carney Domonic J. 8965 RESEARCH DRIVE IRVINE, CA 92618			Chief Financial Officer	

## Signatures

/s/ Domonic J. Carney	01/29/2018
Signature of Reporting Person	Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On January 25, 2018, the reporting person acquired directly in a private placement (i) a convertible senior secured promissory note in principal amount of \$11,111, convertible into shares (1) of the Company's common stock at an initial conversion price of \$2.50 per share, and (ii) a five-year warrant to purchase an aggregate of 4,444 shares of the Company's common stock at an exercise price of \$1.50 per share.

On December 20, 2017, the reporting person acquired directly in a private placement (i) a convertible senior secured promissory note in principal amount of \$8,333, convertible into (2) shares of the Company's common stock at an initial conversion price of \$2.50 per share, and (ii) a five-year warrant to purchase an aggregate of 3,333 shares of the Company's common stock at an exercise price of \$1.50 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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